



CUSTOMER ACCOUNT TRANSFER FORM

Use this form to authorize the transfer of securities and/or funds from another financial institution (brokerage firm, bank, insurance/annuity, credit union, transfer agent, or Mutual Fund company) into a Velocity Clearing, LLC ("Velocity") brokerage account.

ACATs:

Email form and statement to: Margin@velocityclearingllc.com
 Originals are NOT required to be mailed to Velocity.

Non-ACATs and Direct Registration System ("DRS") Transfers:

Mail original completed form with statement to Velocity Clearing, LLC
 1301 Route 36, Suite 109
 Hazlet, NJ 07730

SECTION 1: RECEIVING FIRM INFORMATION – Velocity BROKERAGE ACCOUNT				SECTION 2: DELIVERING FIRM INFORMATION			
Velocity Account Number:				Delivering Firm Account Number:			
Velocity Clearing Number:				Delivering Firm Clearing Number:			
Account Holder Name/Account Title:				Delivering Firm Name:			
Primary Account Holder Social Security/Tax ID Number:				Delivering Firm Address:			
Secondary Account Holder Social Security/Tax ID Number:							
Registration Type:				Registration Type:			
Individual		Joint		Individual		Joint	
Trust		Other		Trust		Other	

SECTION 3: TRANSFER TYPE (SELECT ONE)			
More than two assets - Attach additional Customer Account Transfer Forms			
	A. Transfer from a Brokerage Account, Trust Company, or Transfer Agent	Number of Shares or "All"	
	Full Transfer my entire account in-kind, including cash (Available only for eligible positions)	Name of Security	
		Symbol/CUSIP	
		Account Number	
	Partial Transfer only the securities listed in-kind Unless otherwise indicated, some non-transferrable money market funds may be liquidated by delivering firm	Number of Shares or "All"	
		Name of Security	
		Symbol/CUSIP	
		Account Number	
		Comments/Notes:	
	B. Transfer from a Transfer Agent (DRS Transfers)	Number of Shares or "All"	
	Full Transfer all shares and leave fractional shares at contra firm	Name of Security	
		Symbol/CUSIP	
		Account Number	
	Partial Transfer only the securities listed in-kind Unless otherwise indicated, some non-transferrable money market funds may be liquidated by delivering firm	Number of Shares or "All"	
		Name of Security	
		Symbol/CUSIP	
		Account Number	
		Comments/Notes:	

SECTION 4: ACCOUNT HOLDER SIGNATURE(S)

By signing below, I/we agree to read and be bound by the terms and conditions printed in this document.

Further I/we agree that Velocity does not accept and will not act as Custodian/Trustee of and for any assets and/or positions which are not actually transferred to Velocity and specifically does not approve any notation on the books and records of any entity, including but not limited to any mutual fund company, that indicates, implies, and/or states that Velocity is the Custodian/Trustee of any assets held away from Velocity.

I/We understand that to the extent any assets in my/our account are not readily transferrable, with or without penalties, such assets may not be transferred within the time frames required by FINRA Rule 11870 or other designated examining authority. Unless otherwise indicated in Section 3 of this form, I/we authorize Velocity to liquidate any non-transferrable proprietary or non-proprietary money market fund assets that are part of my/our account and transfer the resulting credit balance to the Successor Custodian. I/We understand that if I/we choose a method of disposition of such assets other than liquidation and transfer, I/we may become liable for payment of taxes and penalties with respect to such assets. I/We also understand that we will be notified in writing by the delivering firm, and/or by the receiving firm with respect to the disposition of any other assets in my/our account that are non-transferrable. I/We authorize Velocity the account is insufficient to satisfy any outstanding fees due Velocity, I/we authorize Velocity to liquidate the assets in my/our account to the extent necessary to satisfy any outstanding fees due Velocity. If certificates or other instruments in my/our account are in Velocity's physical possession, I/we instruct Velocity to transfer them in good deliverable form, including affixing any necessary tax waivers to enable Velocity to transfer them in its name for the purpose of sale, when and as directed by me/us. Upon receiving a copy of this transfer instruction, the delivering organization will cancel all open orders for my/our account on its books

Primary Account Holder:

Signature:

Date:

Secondary Account Holder:

Signature:

Date: