



A Division of Velocity Clearing, LLC  
Member FINRA/ SIPC

# Privacy Policy

Guardian Trading, a division of Velocity Clearing, LLC (“Velocity Clearing” or the “Firm”) is committed to maintaining the confidentiality, integrity and security of personal information about our current and prospective customers. We understand that privacy is an important issue for you, and we also want you to understand how we protect your privacy when we collect personal information about you.

## Information We Collect

The personal information we collect from you comes from information you supply to us in account opening applications (whether written or electronic), or in other forms you may provide to us. This information may include your name, address, social security number or tax identification number, account number, account balances and financial information about you.

## Information We May Share

Velocity Clearing may share personal information about our current and former customers with our affiliated companies and service providers. We may share the information for everyday business purposes, including to process your transactions, to assist us in providing services, or for marketing purposes to offer products and services that may be of interest to you.

We may also share personal information with non-affiliated companies that are required to process your transactions such as clearing firms or provide other services. We do not sell your information or provide it to non-affiliated parties for marketing purposes.

We may also disclose information about current or former customers in order to cooperate with legal or regulatory authorities or pursuant to a court order or subpoena. In addition, we may disclose personal information as necessary to perform credit checks, collect debts, enforce our legal rights or otherwise protect our interests and property.

## How We Protect Your Information

It is our policy not to release your personal information except as permitted by law, with your consent, as requested by you or set forth below. Within Velocity Clearing, we restrict access to your personal information to those who require it to provide products or services to you.

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

## OPT OUT NOTICE

The law allows you to “opt out” of our sharing nonpublic personal information about you in certain circumstances with affiliated and nonaffiliated companies; that is, you may direct us to not make such disclosures. We do not currently share information about you with any affiliate or third party that triggers this opt-out right. Therefore, there is no need for you to opt out. If in the future we desire to disclose your information in a way that is inconsistent with this policy, we will notify you in advance and provide you with the opportunity to opt out of such disclosure.



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THIS PRIVACY NOTICE IS PROVIDED TO YOU FOR INFORMATIONAL PURPOSES ONLY. YOU DO NOT NEED TO CALL OR TAKE ANY ACTION IN RESPONSE TO THIS NOTICE. WE RECOMMEND THAT YOU READ AND RETAIN THIS NOTICE FOR YOUR PERSONAL RECORDS.

## Contact Information

You can contact us about this privacy statement by writing or emailing us at the address below:

Guardian Trading  
1301 Route 36, Suite 109  
Hazlet, NJ 07730

[Website: www.guardian.com](http://www.guardian.com)

[Live Chat](#)

[Email: info@velocityclearingllc.com](mailto:info@velocityclearingllc.com)